

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

OCT 18 2004

Page 1 of 2

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/757645        |
| Filing Date          | January 13, 2004 |
| First Named Inventor | Dahn, Jeffrey R. |
| Art Unit             | 1745             |
| Examiner Name        |                  |
| Attorney Case Number | 58582US003       |

## U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number                  | Publication Date or Issue Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------|----------|----------------------------------|--|---|---|
|              |          | Doc. Number-(Kind Code if Known) |  |   |   |
| /TD/         | A1       | US- 4612600                      | 09/16/1986                                   | Hodgkins  |   |
| /TD/         | A2       | US- 5674645                      | 10/07/1997                                   | Amatucci et al.                                 |   |
| /TD/         | A3       | US- 5759720                      | 06/02/1998                                   | Amatucci  |   |
| /TD/         | A4       | US- 5773168                      | 06/30/1998                                   | Kubo et al.                                     |   |
| /TD/         | A5       | US- 5900385                      | 05/04/1999                                   | Dahn et al.                                     |   |
| /TD/         | A6       | US- 5955219                      | 09/21/1999                                   | Nishijima et al.                                |   |
| /TD/         | A7       | US- 5993998                      | 11/30/1999                                   | Yasuda  |   |

## Foreign Patent Documents

| Exam. Init.* | Cite No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation<br>(Check if yes) |
|--------------|----------|-------------------------|----------------------------|--------------------------------|---|---|-------------------------------|
|              |          | Ctry. Code              | Number-KindCode (if known) |                                |   |   |                               |
| /TD/         | B1       | EP                      | 1 035 600                  | 09/13/2000                     |   |   |                               |
| /TD/         | B2       | JP                      | 2002184404                 | 06/28/2002                     |   |   | X                             |
| /TD/         | B3       | PCT                     | WO 02/073718               | 09/19/2002                     |   |   | X                             |
| /TD/         | B4       | PCT                     | WO 98 10476                | 03/12/1998                     |   |   |                               |

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
|--------------|----------|--|
| /TD/         | C1       | A.R. Armstrong et al., <u>Nature</u> , 1996, 381, 499. ✓   |
|              | C2       | J. Cho et al., <u>Electrochem Solid State Lett.</u> 2000, 3, 355. ✓  |
|              | C3       | J. Cho. et al., <u>Chem. Mater.</u> 2000, 12, 3788. ✓  |
|              | C4       | J.R. Dahn et al., <u>Electrochem. Soc.</u> 1997, 145, 851. ✓   |
|              | C5       | H. Desilvestro et al., The 10th International Meeting on Lithium Batteries, Como, Italy, May 28-June 2, 2000.  |
|              | C6       | Y. Gao et al., <u>Electrochem. Solid-State Lett.</u> , 1998, 1, 117. ✓   |
|              | C7       | Y. Jang et al., <u>Electrochem. Solid-State Lett.</u> 1998, 1, 13. ✓   |
|              | C8       | S. Jouanneau et al., <u>Chemistry of Materials</u> , 15-2, 2003, 495-499. ✓  |
|              | C9       | K. Kubo et al., <u>Journal of Power Sources</u> , 68, 1997, 553-557 ✓  |
| ✓            | C10      | M.J. Laurent et al., <u>Journal of Materials Science</u> 23 (1998) 4481 ✓  |
| /TD/         | C11      | Z. Lu et al., <u>Electrochemical and Solid State Lett.</u> , 2001, 4-12 ✓  |

\*Examiner: /Tracy Dove/ (05/07/2007)

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 2 of 2

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/757645        |
| Filing Date          | January 13, 2004 |
| First Named Inventor | Dahn, Jeffrey R. |
| Art Unit             |                  |
| Examiner Name        |                  |
| Attorney Case Number | 58582US003       |

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Exam.<br>Init.* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
|-----------------|-------------|--|
| /TD/            | C12         | J.M. Paulsen et al., <u>J. Electrochem. Soc.</u> , 2000, 147, 861. /   |
| /TD/            | C13         | J.M. Paulsen et al, The 198th Meeting of the Electrochemical Society, Phoenix, Arizona, October 22-27, 2000.   |
| /TD/            | C14         | D. MacNeil et al., <u>J. Electrochem. Soc.</u> 2002, 149(10), 1332 /   |
| /TD/            | C15         | S. Marinel et al., <u>Journal of Materials Science; Material in Electronics</u> , 13, (2002) 149-155 /   |
| /TD/            | C16         | K. Numata et al., <u>Chem. Lett.</u> , 1997, 725. /  |
| /TD/            | C17         | K. Numata et al., <u>Solid State Ionics</u> 1999, 117, 257. /  |

\*Examiner: /Tracy Dove/ (05/07/2007) Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

January 13, 2004

First Named Inventor

Dahn, Jeffrey R.

Art Unit

1745

Examiner Name

Attorney Case Number

58582US003

(Use as many sheets as necessary)

Page 1 of 1

## U.S. Patent Documents

| Exam.<br>Init.* | Cite<br>No. | Document Number                  | Publication Date or<br>Issue Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------|-------------|----------------------------------|---|--|---|
|                 |             | Doc. Number-(Kind Code if Known) |   |  |   |
| /TD/            | A1          | US- 2003/0180620                 | 09/25/2003                                      | Nakane et al.                                      |   |
|                 | A2          | US-                              |   |  |   |
|                 | A3          | US-                              |   |  |   |
|                 | A4          | US-                              |   |  |   |
|                 | A5          | US-                              |   |  |   |
|                 | A6          | US-                              |   |  |   |
|                 | A7          | US-                              |   |  |   |
|                 | A8          | US-                              |   |  |   |

## Foreign Patent Documents

| Exam.<br>Init.* | Cite<br>No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | Translation<br>(Check if yes) |
|-----------------|-------------|-------------------------|----------------------------|--------------------------------|--|---|-------------------------------|
|                 |             | Ctry. Code              | Number-KindCode (If known) |                                |  |   |                               |
| /TD/            | B1          | PCT                     | WO 02/089234               | 11/07/2002                     |  |   |                               |
| /TD/            | B2          | JP                      | 2002-304993                | 10/18/2002                     |  |   | Abstract                      |
| /TD/            | B3          | JP                      | 2000-195514                | 07/14/2000                     |  |   | Abstract                      |
|                 | B4          |                         |                            |                                |  |   |                               |
|                 | B5          |                         |                            |                                |  |   |                               |
|                 | B6          |                         |                            |                                |  |   |                               |
|                 | B7          |                         |                            |                                |  |   |                               |

## OTHER DOCUMENTS

| Exam.<br>Init.* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation<br>(Check if yes) |
|-----------------|-------------|--|-------------------------------|
|                 | C1          |  |                               |
|                 | C2          |  |                               |
|                 | C3          |  |                               |

\*Examiner: /Tracy Dove/ (05/07/2007)

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)